

Enrollment/Prior Authorization Form

Prosigna Patient Support

SECTION 1

To view our Billing and Coding Guide, visit our website at www.prosigna.com

PROGRAMS AND SERVICES

Insurance Verification, Prior Authorization, Prior Authorization or Appeals Support (complete sections 1 and 2)

Free Product Assistance/Patient Assistance Program: PAP (complete sections 1, 2, and 3)

Product Replacement Program: PRP (complete sections 1, 2, and 3)

Expedited Review Required for Prior Authorization and Appeals



PATIENT INFORMATION Attached

Patient name: _____
Patient DOB: _____
Address: _____
City: _____ **State:** _____ **Zip:** _____
Email: _____
Home phone: _____
Work/cell phone: _____

HEALTH INSURANCE INFORMATION Attached

Primary insurance name: _____
Policy/Group #: _____
Phone: _____
Policy holder's name: _____
DOB: _____ **Relationship:** _____
Payer/provider ID #: _____

Secondary insurance name: _____
Policy/Group #: _____
Phone: _____
Policy holder's name: _____
DOB: _____ **Relationship:** _____
Payer/provider ID #: _____

Tertiary insurance name: _____
Policy/Group #: _____
Phone: _____
Policy holder's name: _____
DOB: _____ **Relationship:** _____
Payer/provider ID #: _____

PATIENT MEDICAL INFORMATION Attached

Please provide the ICD10 diagnostic code: _____
Tumor Size: ≤ 2 cm > 2 cm
Location of Patient's Tissue: _____

Required Pathology Report Attached Yes

Previous treatment:
 None Hormone therapy Radiation
 Surgery Other _____

Clinical TNM Stage:
 0 I* IIA* IIB* IIIA
 IIIB IIIC IV

HER2 positive? Yes No*
ER/PR status? Positive* Negative
Nodal status? Positive* Negative*
Menopausal status: Pre Peri Post*

UPMC Health plan Predict score: _____
 Results of the gene expression test will be used in the determination of whether the patient will be given additional treatment and that the woman will accept additional treatment if offered

PHYSICIAN/PROVIDER INFORMATION Attached

Person of contact: _____
Phone: _____
Email: _____
Physician name: _____
State Lic #: _____ **PTAN:** _____
Name of group/hospital: _____
Tax ID #: _____ **NPI:** _____
Mailing address: _____
City: _____ **State:** _____ **Zip:** _____
Phone: _____ **Fax:** _____

Preferred laboratory for Prosigna testing: _____
Address (if known): _____
City: _____ **State:** _____ **Zip:** _____
Phone: _____ **Fax:** _____

SECTION 2

PROVIDER'S CERTIFICATION

By signing below, I certify that:

(a) the Prosigna Assay is medically necessary; **(b)** I have received any necessary authorization to release the information in this form and other protected health information (as defined by the Health Insurance Portability and Accountability Act of 1996 [HIPAA] and implementing regulations) to the Nanostring Technologies, Inc. Prosigna Patient Support Program (the "Program") and contractors administering the Program for the purpose of seeking reimbursement and assisting in initiating the evaluation of the patient's eligibility for the Program; **(c)** I have not received, and will not in the future seek, any payment from the patient or any third party payor for the free Prosigna test that is being provided for use for this patient or being replaced under the Program; and **(d)** I appoint the Program to convey on my behalf to the laboratory chosen by the above-named patient the prescription described herein.

I agree to comply with the program guidelines as established by NanoString and understand that NanoString, at its sole and absolute discretion, reserves the right to modify or discontinue the Program at any time and to verify the accuracy of the information submitted.

PROVIDER'S SIGNATURE

(Signature required; this form cannot be processed without an original or stamped signature.)

Last name: _____ First name: _____ Date: _____

Signature: _____

SECTION 3 — Complete only if applying for Patient Assistance Program and/or Product Replacement Program

PATIENT'S CERTIFICATION

I certify that the information about me provided in this form is true and correct to the best of my knowledge.

I understand that the Prosigna Patient Support Program (the "Program") includes programs and services, some of which are available only to patients who do not have insurance coverage for Prosigna. I understand that if I do not have insurance coverage

I may need to enroll in the Patient Assistance or Product Replacement Programs and may be required to submit income verification documents to the Program for my household for purposes of determining my eligibility for the Program or to verbally confirm by phone to a Program representative the income information provided in this application.

Complete only if applying for Patient Assistance Program and/or Product Replacement Program:

U.S. Resident: Yes No Annual household income: _____ Number of people in your household: _____

PATIENT'S SIGNATURE

(Certification of patient can be provided by either an original signature or by verbal confirmation to a Program representative by phone.)

Last name: _____ First name: _____ Date: _____

Signature: _____

The Prosigna Breast Cancer Prognostic Gene Signature Assay Intended Use

In the U.S., the Prosigna Assay is indicated in female breast cancer patients who have undergone surgery in conjunction with locoregional treatment consistent with standard of care, either as:

- (1)** a prognostic indicator for distant recurrence-free survival at 10 years in postmenopausal women with HR+, lymph node-negative, Stage I or II breast cancer to be treated with adjuvant endocrine therapy alone, when used in conjunction with other clinicopathological factors or
- (2)** a prognostic indicator for distant recurrence-free survival at 10 years in postmenopausal women with HR+, lymph node-positive (1-3 nodes), Stage II breast cancer to be treated with adjuvant endocrine therapy alone, when used in conjunction with other clinicopathological factors. The device is not intended for patients with 4 or more positive nodes.

INSTRUCTIONS FOR USE

The enrollment form should be completed and submitted for all insured and uninsured patients who may need assistance.

Please attach the following:

If your claim or prior authorization submission has been denied, include copies of the claims or prior authorization requests and denials of such claims and appeals